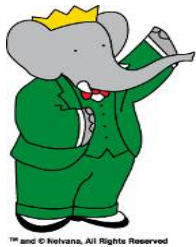


Searches for New Physics with *BABAR*

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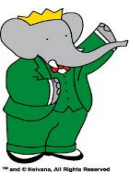


Presented at
Planck 2012
on behalf of the BABAR Collaboration
May 30, 2012
Warsaw, Poland





Outline



Tree level processes:

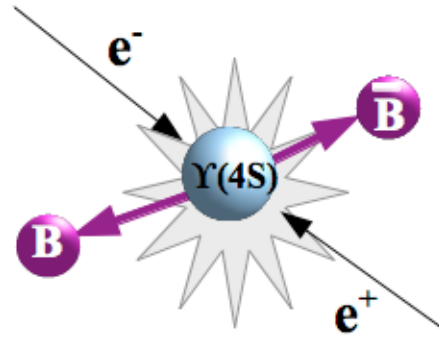
- $B \rightarrow D^{(*)} \tau \nu$
- $B^+ \rightarrow \tau^+ \nu$ and

FCNC processes:

- $b \rightarrow s \gamma$
- $B \rightarrow K^{(*)} l^+ l^-$

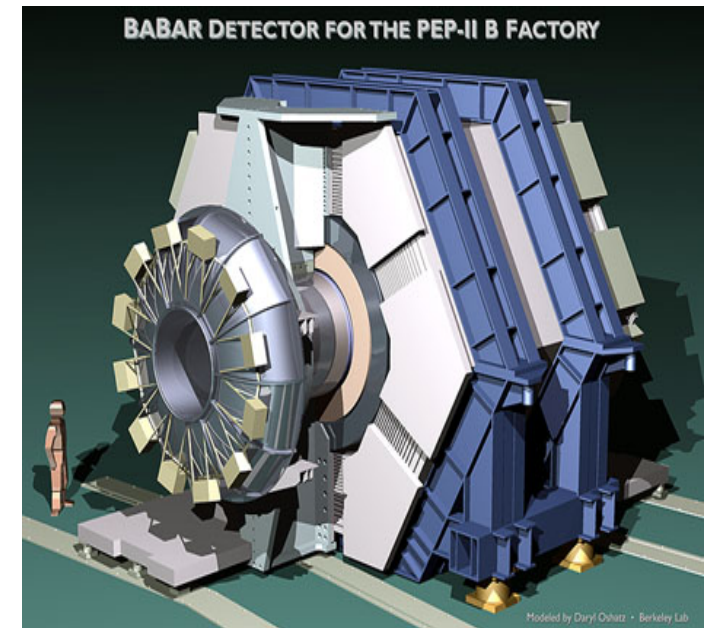
Rare & forbidden decays:

- $B^+ \rightarrow h^+ \tau^+ l^-$ (h = K, π)
- $B^+ \rightarrow h^- l^+ l^+$
- $B^0 \rightarrow \nu \bar{\nu} (\gamma)$ (i.e. invisible final states)



BABAR
data sample of
~470 million
 $\Upsilon(4S) \rightarrow B\bar{B}$ events

e^+e^- collisions at CM energy of ~ 10.5 GeV

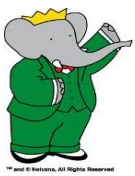




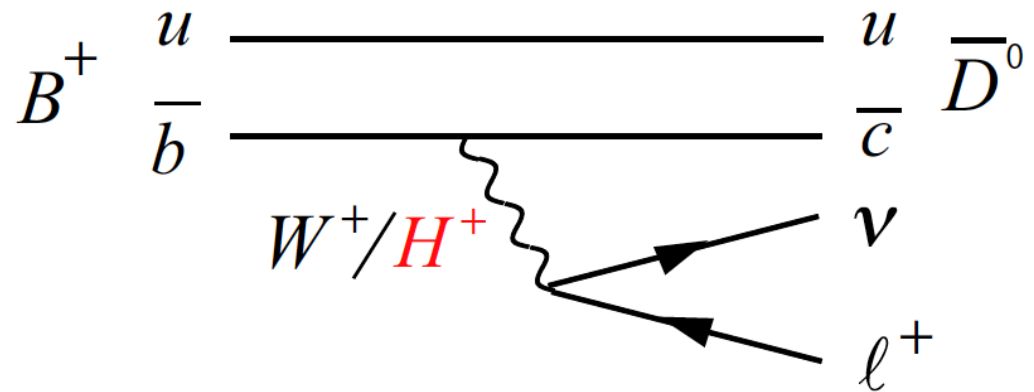
Tree-level processes



$B \rightarrow D^{(*)} \tau \nu$



- Tree-level semileptonic decays sensitive to CKM matrix element $|V_{cb}|$ but heavy 3rd generation lepton provides potential sensitivity to charged Higgs boson



- Dependence on $|V_{cb}|$ and form factors can be (mostly) cancelled in ratio of τ and (e, μ) modes:

$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)}$$

SM expectation:

Nierste, Trine, Westhoff (2008)
Phys. Rev. **D78** 015066

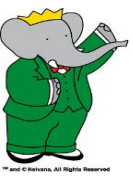
$$R(D) = 0.31 \pm 0.02$$

$$R(D^*) = 0.25 \pm 0.02$$

- Relatively high branching fractions; experimental challenge is to distinguish between leptonic final states with one or more neutrinos



$B^+ \rightarrow \tau^+ \nu$



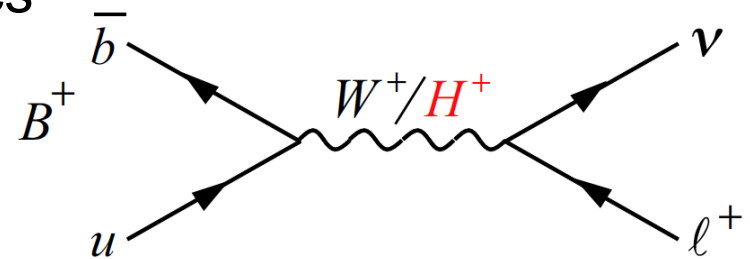
Leptonic B decays, $B^+ \rightarrow \ell^+ \nu$:

$$\mathcal{B}(B^+ \rightarrow \ell^+ \nu)_{\text{SM}} = \frac{G_F^2 M_B m_\ell^2}{8\pi} \left(1 - \frac{m_\ell^2}{M_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

- “Parametric” uncertainty in SM prediction from $f_B |V_{ub}|$ problematic due to tension between “inclusive” and “exclusive” $|V_{ub}|$ measurements

- Potentially sensitive to same new physics as semileptonic $B \rightarrow D^{(*)} \tau \nu$ decays:

SM branching fractions suppressed by m_ℓ^2 but relative H^+ contribution (2HDM type-II) is independent of lepton type:



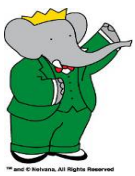
$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = \mathcal{B}(B^+ \rightarrow \tau^+ \nu)_{\text{SM}} \times r_H$$

$$r_H = \left(1 - M_B^2 \tan^2 \beta / M_{H^\pm}^2\right)^2$$

- $B^+ \rightarrow \tau^+ \nu$ has largest branching fraction but is experimentally more difficult than $B^+ \rightarrow (e, \mu)^+ \nu$ due to additional neutrinos

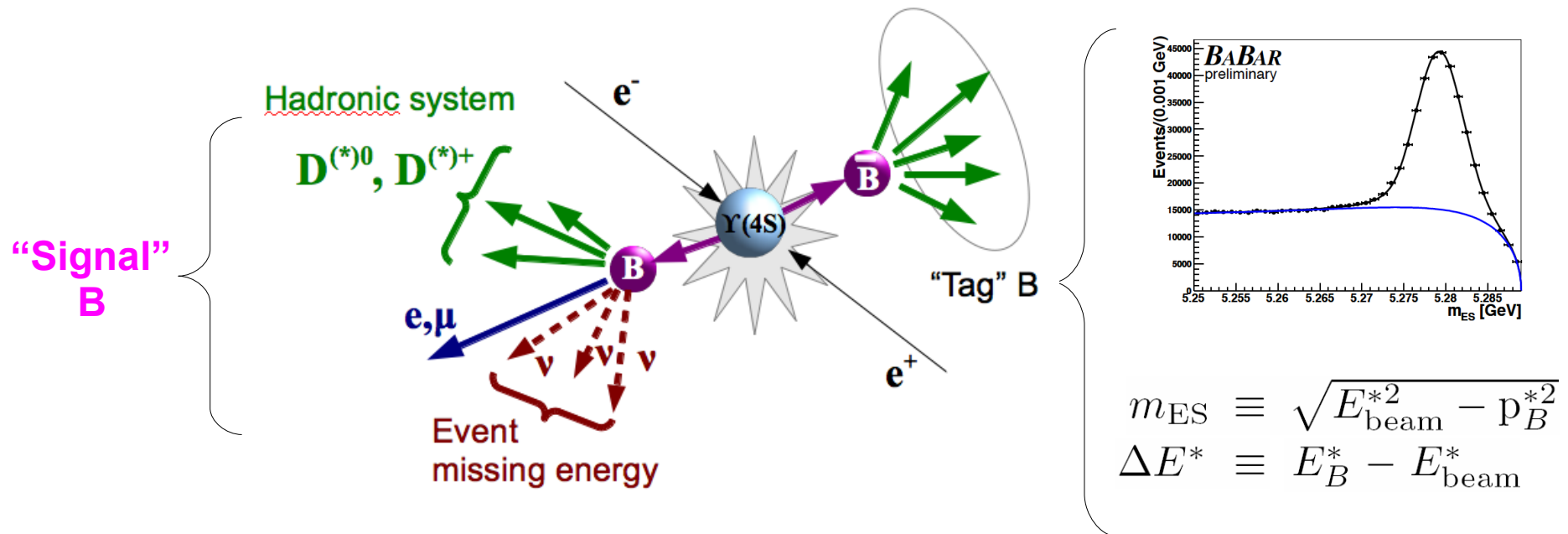


Methodology



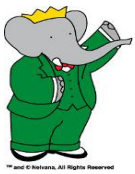
Limited kinematic information available in B decays with neutrinos (or other missing energy) necessitates use of exclusive tag B reconstruction

- Exclusively reconstruct one of the B meson decays (“tag B”) in **one of several thousand** hadronic decay modes:



- Improves knowledge of signal kinematics and missing energy, as well as strongly suppressing combinatorial backgrounds
- ⇒ Similar “tag” methods used for $B \rightarrow D^{(*)}\tau\nu$ and $B^+ \rightarrow \tau^+\nu$ measurements, as well as searches for rare decays with missing energy signatures

B → D^(*)τν signal extraction



Measure $B \rightarrow D^{(*)}\ell\nu$ and $B \rightarrow D^{(*)}\tau\nu$ simultaneously to determine ratios $R(D^{(*)})$

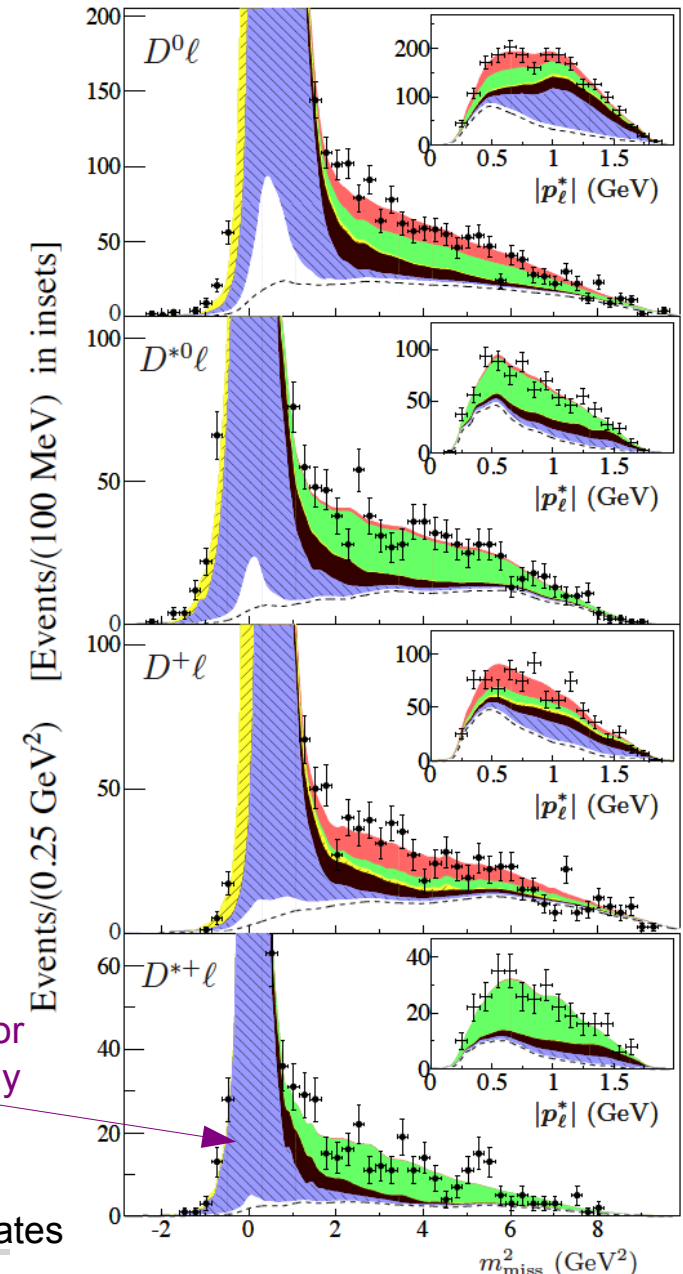
- 2-d fit to missing mass squared m_{miss}^2 and lepton momentum $|\mathbf{p}^*_l|$
- Simultaneous fit to $B \rightarrow D^{**}\ell\nu$ control samples to determine backgrounds*

Decay	N_{sig}	# σ	$\mathcal{B}(B \rightarrow D^{(*)}\tau\nu)$ (%)
$B^- \rightarrow D^0 \tau^- \bar{\nu}_\tau$	314 ± 60	4.7	$0.99 \pm 0.19 \pm 0.13$
$B^- \rightarrow D^{*0} \tau^- \bar{\nu}_\tau$	639 ± 62	9.4	$1.71 \pm 0.17 \pm 0.13$
$\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau$	177 ± 31	5.2	$1.01 \pm 0.18 \pm 0.12$
$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$	245 ± 27	10.4	$1.74 \pm 0.19 \pm 0.12$
$\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau$	489 ± 63	6.8	$1.02 \pm 0.13 \pm 0.10$
$\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$	888 ± 63	13.2	$1.76 \pm 0.13 \pm 0.11$

First observation of $B \rightarrow D\tau\nu$

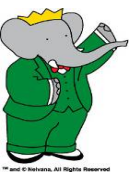
■ $\bar{B} \rightarrow D\tau^- \bar{\nu}_\tau$
 ▨ $\bar{B} \rightarrow D\ell^- \bar{\nu}_\ell$
 ■ $\bar{B} \rightarrow D^{**}(\ell^- / \tau^-) \bar{\nu}$
■ $\bar{B} \rightarrow D^*\tau^- \bar{\nu}_\tau$
 ▨ $\bar{B} \rightarrow D^*\ell^- \bar{\nu}_\ell$
 □ Background

* D^{**} : L=1 charm states





B \rightarrow D^(*) τ ν results

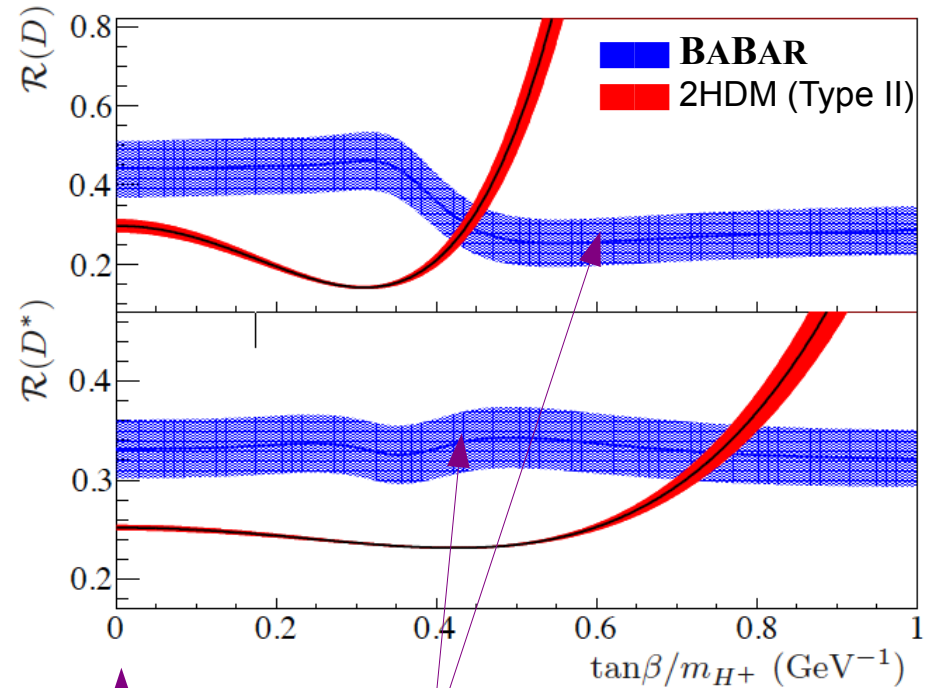


Branching fraction measurements for $B \rightarrow D\tau\nu$ and $B \rightarrow D^{(*)}\tau\nu$ exceed SM expectation by 2.0σ and 2.7σ respectively

- 0.27 correlation between modes, yielding combined excess of 3.4σ (p-value 6.9×10^{-4})

Good consistency between charged and neutral modes

Decay	$\mathcal{R}(D^{(*)})$
$B^- \rightarrow D^0 \tau^- \bar{\nu}_\tau$	$0.429 \pm 0.082 \pm 0.052$
$B^- \rightarrow D^{*0} \tau^- \bar{\nu}_\tau$	$0.322 \pm 0.032 \pm 0.022$
$\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau$	$0.469 \pm 0.084 \pm 0.053$
$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$	$0.355 \pm 0.039 \pm 0.021$
$\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau$	$0.440 \pm 0.058 \pm 0.042$
$\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$	$0.332 \pm 0.024 \pm 0.018$



“SM”

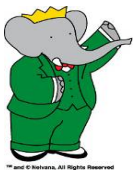
H^+ contribution modifies experimental pdf shapes and hence signal efficiency as function of $\tan\beta/m_{H^+}$

Isospin constrained

- Interpretation of $R(D)$ and $R(D^*)$ within 2HDM (type II) yields inconsistent results



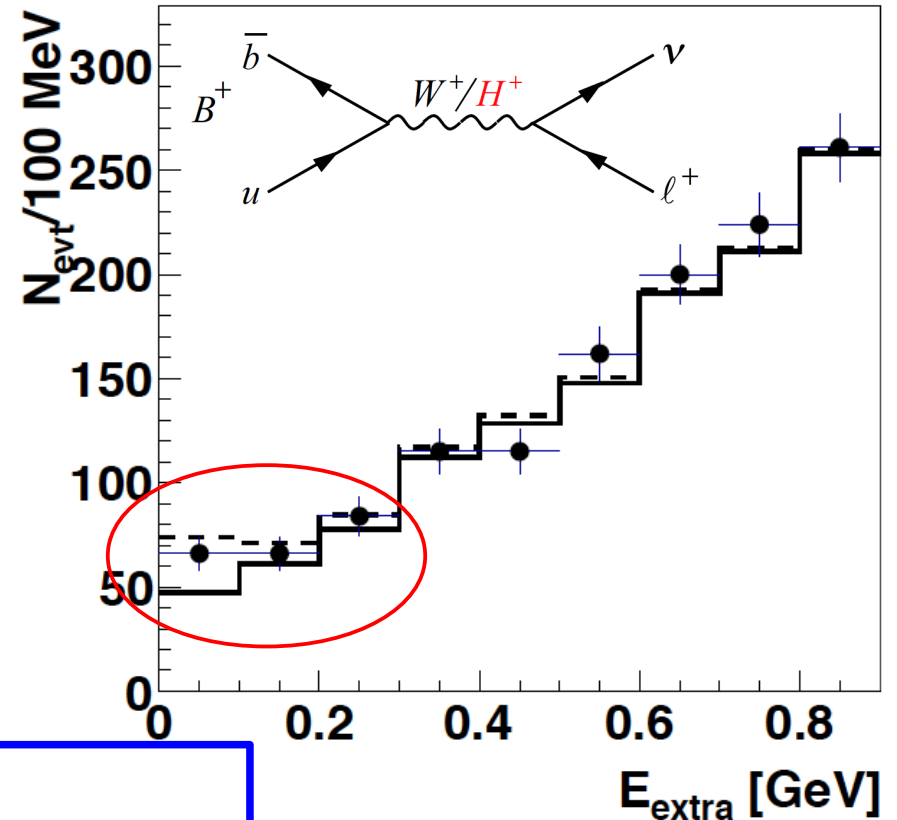
$B^+ \rightarrow \tau^+ \nu$



New **BABAR** measurement based on hadronic tag reconstruction method

- Consider 1-prong τ decay modes (e, μ, π, ρ)
- Require minimal additional activity in detector ($E_{\text{extra}} \sim 0$)

Excess of events (3.8σ) over background expectation:



$$B(B^+ \rightarrow \tau^+ \nu) = (1.83^{+0.53}_{-0.49}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$$

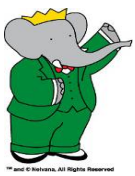
$(1.79 \pm 0.48) \times 10^{-4} \leftarrow$ combination with (statistically independent) *BABAR* semileptonic-tag analysis

B. Aubert et al., Phys. Rev. D 81, 051101(R) (2010)

- Consistent with previously published BABAR and Belle analyses based on independent data samples



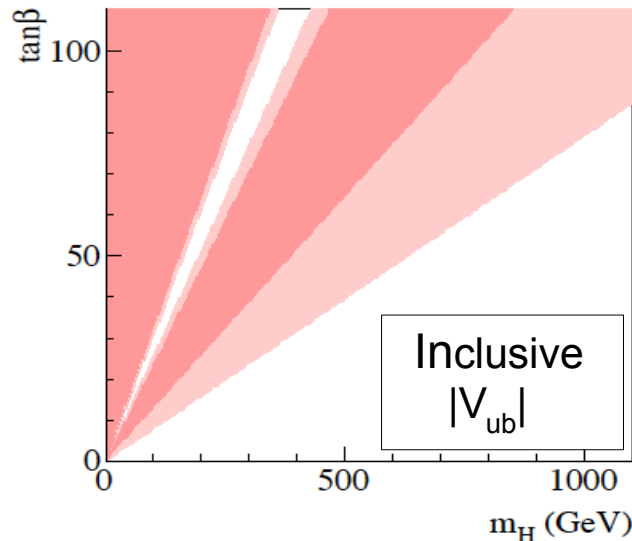
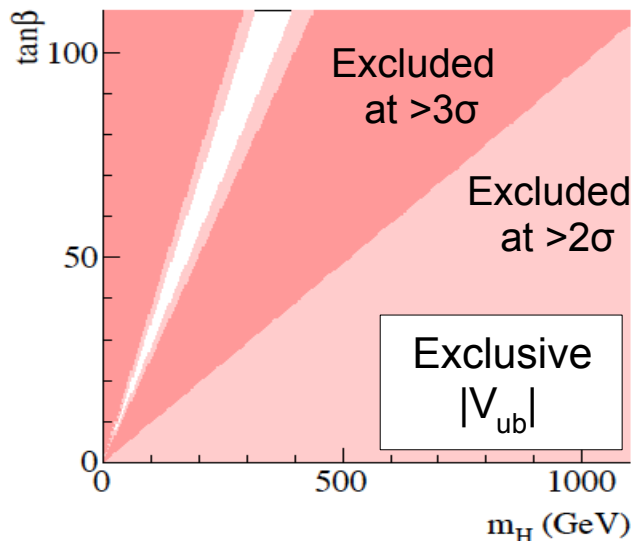
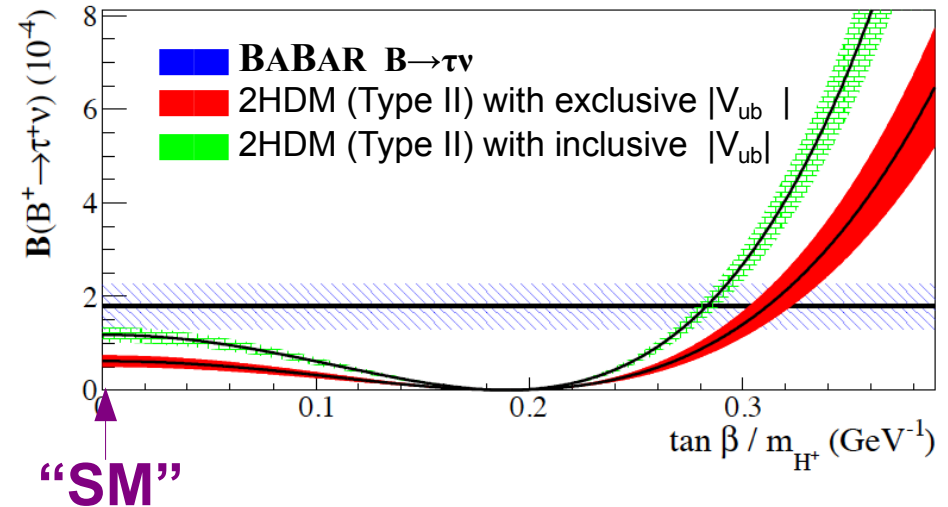
$B^+ \rightarrow \tau^+ \nu$



SM branching fraction prediction depends on $f_B |V_{ub}|$ hence large uncertainty (ambiguity?) in comparison with experimental results:

- $|V_{ub}|$ inclusive*:
($4.31 \pm 0.25 \pm 0.16$) $\times 10^{-3}$
- $|V_{ub}|$ exclusive**:
($3.13 \pm 0.14 \pm 0.27$) $\times 10^{-3}$

Both SM and 2HDM (type II) scenario disfavoured if exclusive $|V_{ub}|$ is assumed:



“Preferred” value(s) for $\tan \beta / m_{H^+}$ inconsistent with both $B \rightarrow D \tau \nu$ and $B \rightarrow D^{(*)} \tau \nu$ (also ruled out by other direct and indirect measurements)

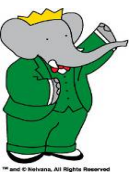
- * BABAR arXiv:1112.0702
- ** BABAR Phys. Rev. **D83**, 032007 (2011).
BABAR Phys. Rev. **D83**, 052011 (2011).



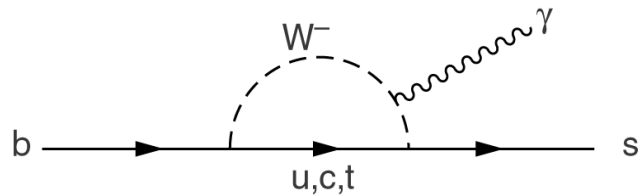
FCNC processes



Electroweak FCNCs



$B \rightarrow X_{s/d} \gamma$



C_7 (Photon penguin)

Observables:

branching fractions E_γ spectrum, A_{CP}

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{tb} \sum_{i=1}^{10} C_i(\mu) O_i(\mu)$$

Wilson coefficients

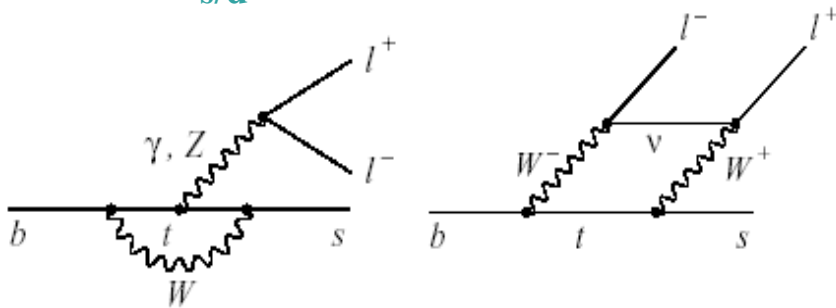
(calculated perturbatively; encode short-distance physics)

Products of field operators

(non-perturbative hadronic matrix elements; Heavy quark expansion in inverse powers of m_b)

New physics could result in a distinctive pattern of deviations in observables across a variety of related FCNC modes

$B \rightarrow X_{s/d} l^+ l^-$

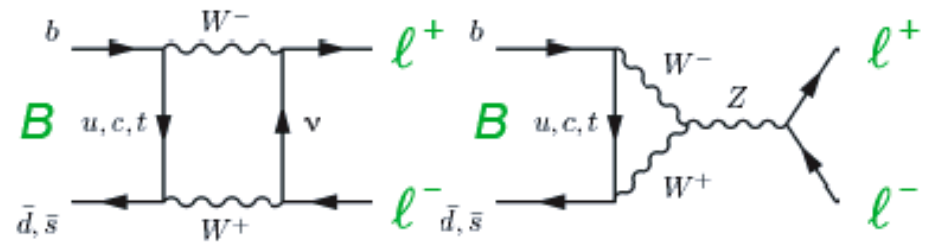


C_7, C_9 (Vector EW) and C_{10}

Observables:

(partial) branching fractions, A_{FB}, A_{CP}

$B^0_{s/d} \rightarrow l^+ l^-$

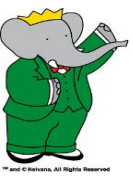


C_{10} (Axial vector EW)

Observables: branching fractions

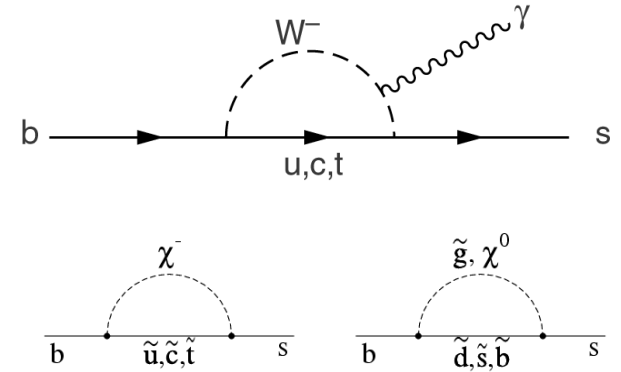


$B \rightarrow X_s \gamma$



The process $b \rightarrow s \gamma$ occurs in SM via 1-loop photon penguin FCNC

- may be modified by new physics contributions also at one-loop
- photon energy spectrum useful for determination of HQET parameters



Obtain “inclusive” $B \rightarrow X_s \gamma$ by summing individual exclusive decay modes:

X_s modes consist of 1 or 3 kaons (including $\leq 1 K_s^0$), $\leq 1 \eta$, and ≤ 4 pions (including $\leq 2 \pi^0$)

$1.6 < E_\gamma^* < 3.0$ GeV (in CM rest frame)

- Fit m_{ES} to a sum of 38 X_s final states

$$m_{ES} = \sqrt{E_{\text{beam}}^{*2} - p_B^{*2}}$$

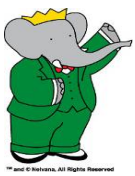
SM expectation (NNLO)*:
 $\mathcal{B}(\bar{B} \rightarrow X_s \gamma) = (3.15 \pm 0.23) \times 10^{-4}$
 Misiak et al. PRL 98 022002 (2007)
 * For photon energy $E_\gamma > 1.6$ GeV

Photon energy in B rest frame obtained from:

$$E_\gamma^B = \frac{m_B^2 - m_{X_s}^2}{2m_B}$$

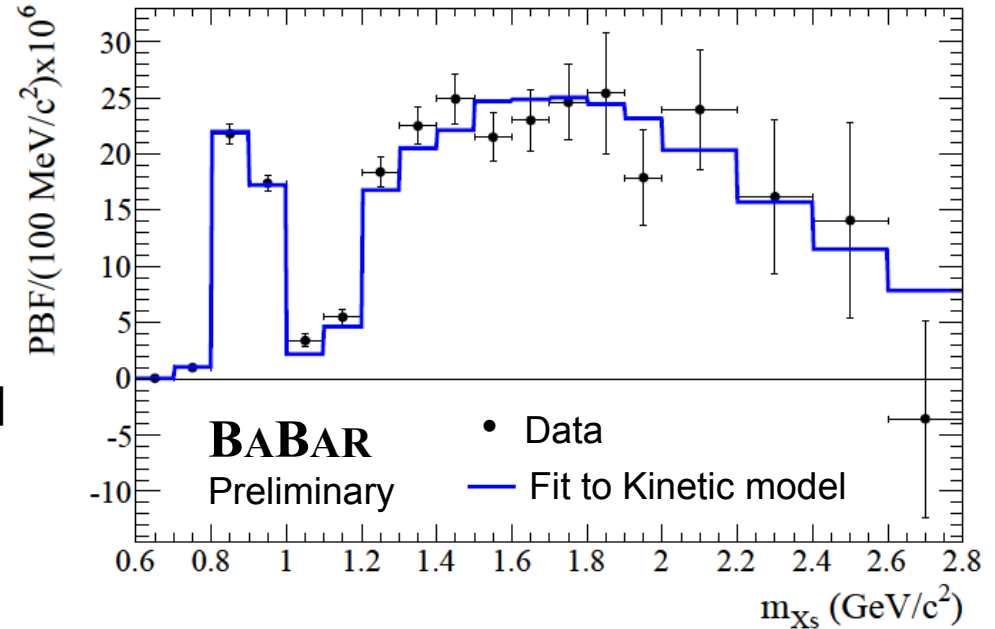


$B \rightarrow X_s \gamma$



Partial branching fractions extracted in bins of the X_s mass (m_{X_s})

- Spectrum fitted to models for extraction of HQET parameter values



	Kinetic Model [1]	Shape Function Model [2]
m_b	$4.568^{+0.038}_{-0.036} \text{ GeV}/c^2$	$4.579^{+0.032}_{-0.029} \text{ GeV}/c^2$
μ_π^2	$0.450^{+0.054}_{-0.054} \text{ GeV}^2$	$0.257^{+0.034}_{-0.039} \text{ GeV}^2$

[1] Bensen et al. Nucl. Phys. B 710 371 (2005)
 [2] Lange et al. PRD 72 073006 (2005)

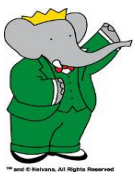
Partial branching fractions combined to obtain inclusive branching fraction ($E_\gamma > 1.9 \text{ GeV}$):

$$\mathcal{B}(\bar{B} \rightarrow X_s \gamma)_{E_\gamma > 1.9 \text{ GeV}} = (3.29 \pm 0.19(\text{stat.}) \pm 0.48(\text{syst.})) \times 10^{-4}$$

- Good consistency with previous measurements



$B \rightarrow K^{(*)} l^+ l^-$



$B \rightarrow K^{(*)} l^+ l^-$ decays occur in SM via one-loop FCNC processes with branching fractions of $O(10^{-6})$

- Recent **BABAR** measurements of branching fractions, direct CP asymmetries and angular distributions

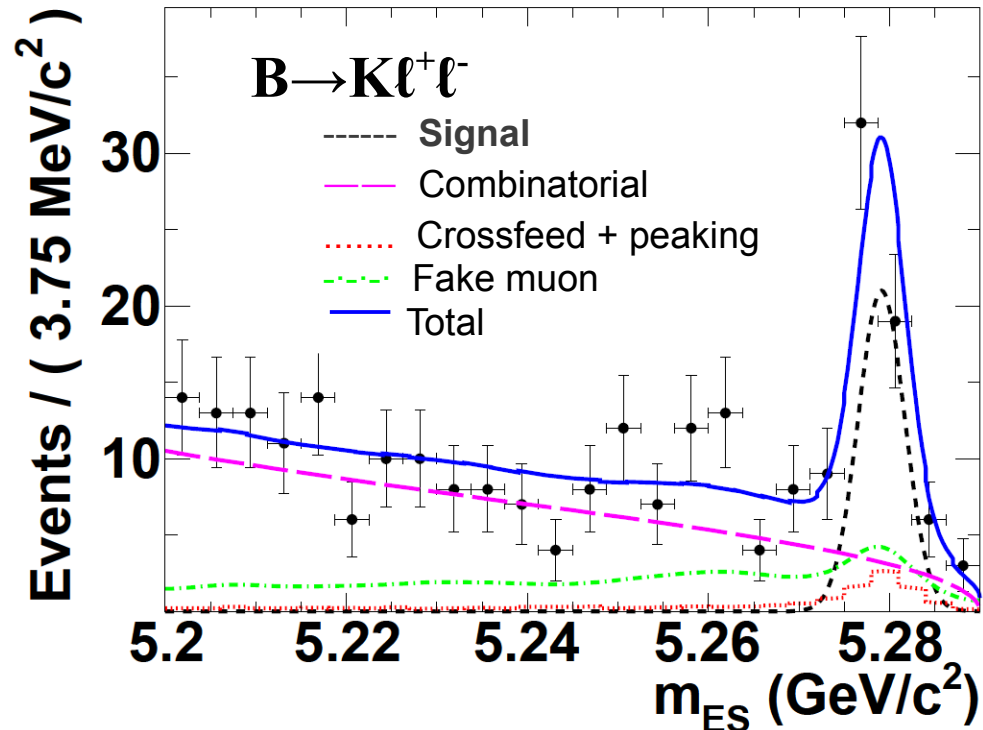
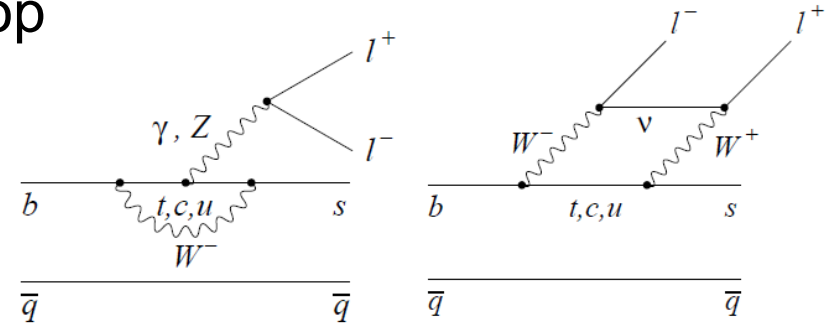
Reconstruct exclusive final states:

$K l^+ l^-$:

$K_s^0 e^+ e^-$, $K^+ e^+ e^-$, $K_s^0 \mu^+ \mu^-$, $K^+ \mu^+ \mu^-$

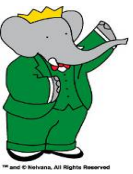
$K^* l^+ l^-$:

$K_s^0 \pi^+ e^+ e^-$, $K^+ \pi^- e^+ e^-$, $K_s^0 \pi^+ \mu^+ \mu^-$, $K^+ \pi^- \mu^+ \mu^-$
 $K^+ \pi^0 e^+ e^-$ (only for angular analysis)



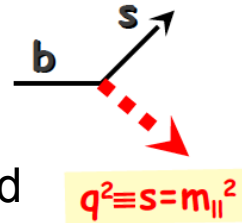


$B \rightarrow K^{(*)} l^+ l^-$



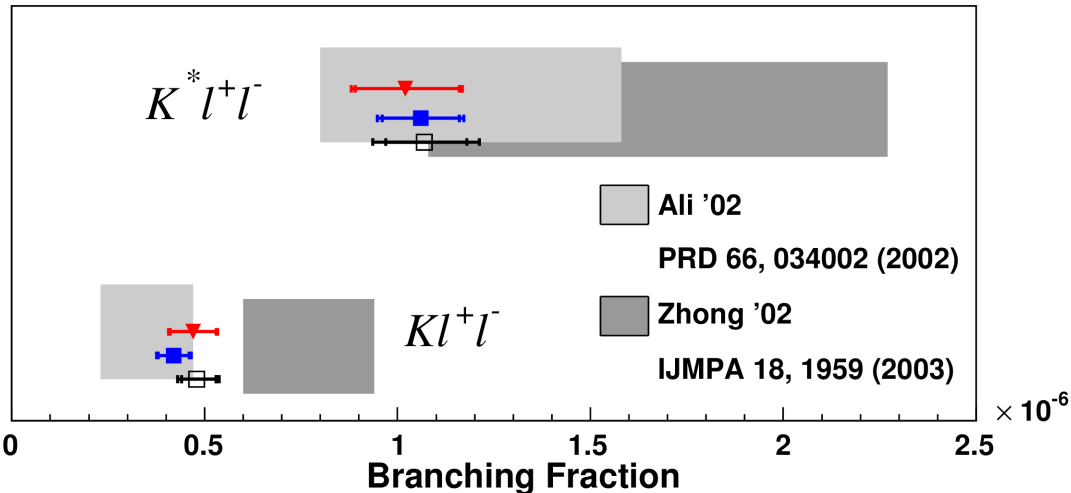
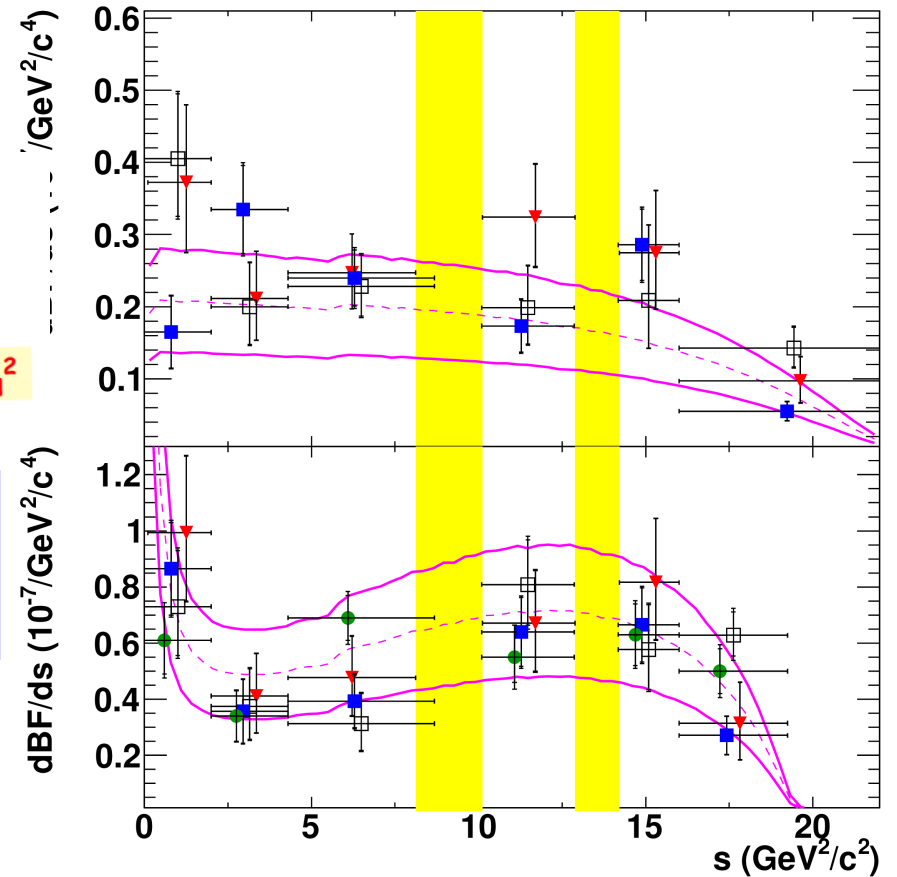
Branching fraction results:

- Partial branching fraction measured in bins of q^2 excluding J/ψ and $\psi(2S)$ regions
- Total branching fractions determined over entire q^2 range



$$\mathcal{B}(B \rightarrow K l^+ l^-) = (4.7 \pm 0.6 \pm 0.2) \times 10^{-7},$$

$$\mathcal{B}(B \rightarrow K^* l^+ l^-) = (10.2^{+1.4}_{-1.3} \pm 0.5) \times 10^{-7}.$$



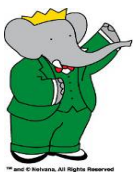
- ▼ **BABAR 471 M BB**
- CDF 6.8 fb⁻¹ PRL 107, 201802 (2011)
- Belle 657 M BB PRL 103, 171801 (2009)
- LHCb 0.37 fb⁻¹ arXiv:1112.3515 (2012)
- SM-based predictions
 Ball & Zwicky, PRD71, 014015(2005),
 PRD71, 014029(2005);
 Ali et al., PRD 66, 034002 (2002).



$B \rightarrow K^{(*)} l^+ l^-$

BABAR

Submitted to PRD
arXiv:1204.3933

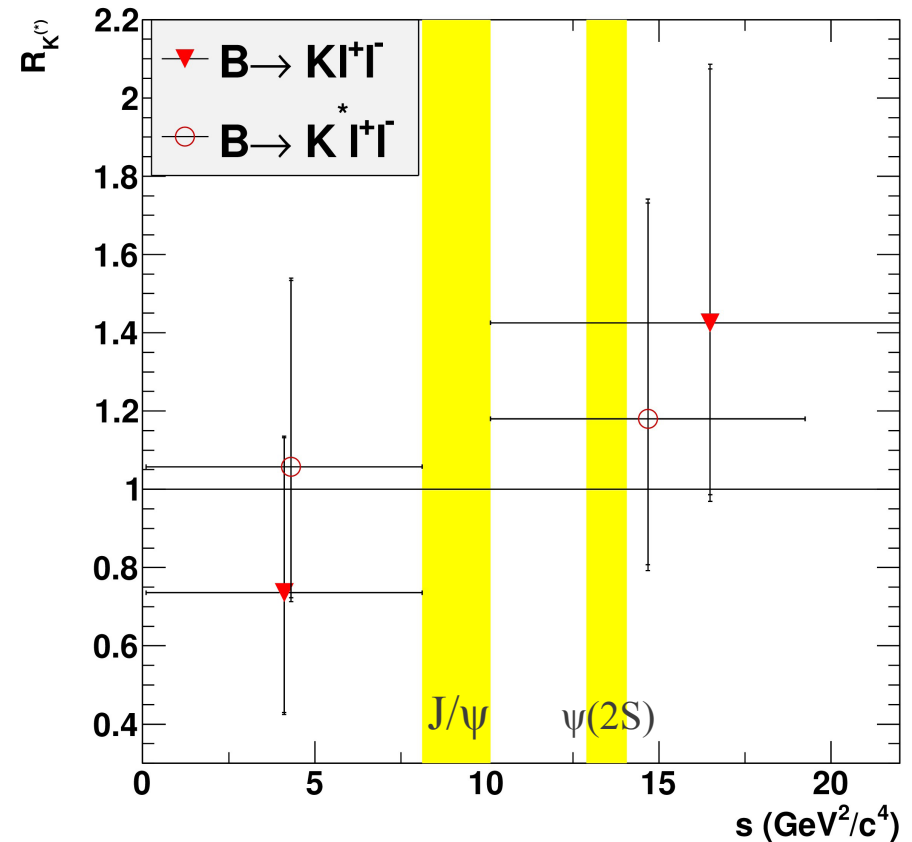
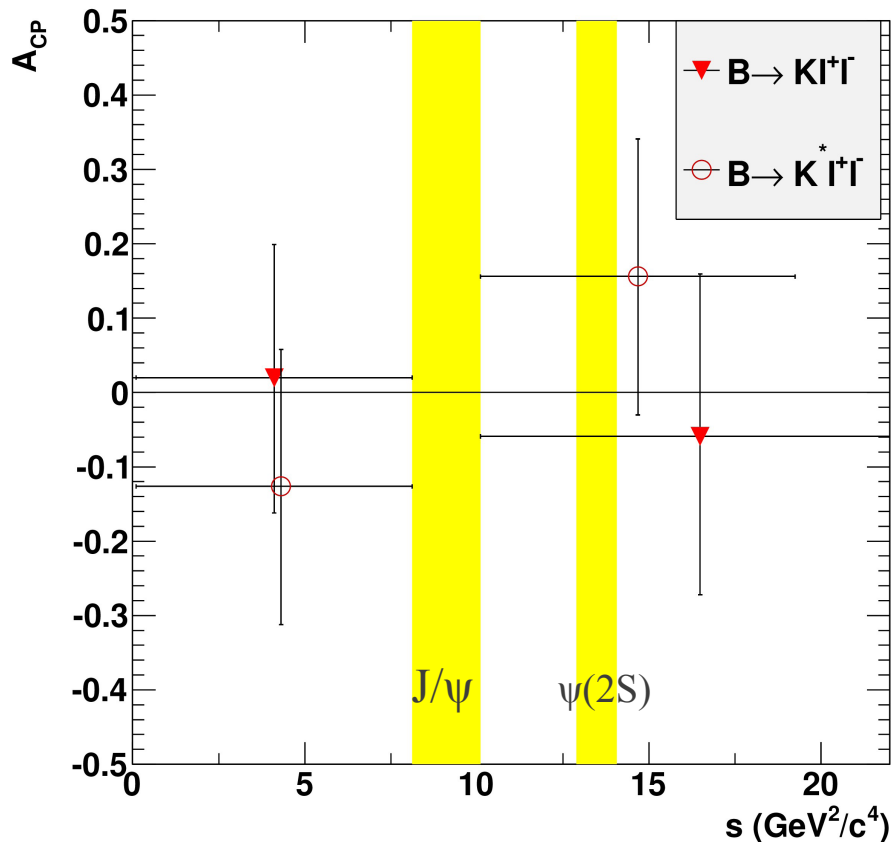


- Direct CP asymmetry consistent with SM expectation of zero

$$A_{CP}^{K^{(*)}} \equiv \frac{\mathcal{B}(\bar{B} \rightarrow \bar{K}^{(*)} l^+ l^-) - \mathcal{B}(B \rightarrow K^{(*)} l^+ l^-)}{\mathcal{B}(\bar{B} \rightarrow \bar{K}^{(*)} l^+ l^-) + \mathcal{B}(B \rightarrow K^{(*)} l^+ l^-)}$$

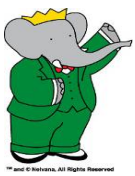
- No evidence of lepton flavour asymmetry

$$\mathcal{R}_{K^{(*)}} \equiv \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)}$$





$B \rightarrow K^{(*)} \ell^+ \ell^-$



SM expectation for isospin asymmetries

is $\mathcal{O}(1\%)$ Feldmann & Matias, JHEP 0301, 074 (2003).

$$A_I^{K^{(*)}} \equiv \frac{\mathcal{B}(B^0 \rightarrow K^{(*)0} \ell^+ \ell^-) - r_\tau \mathcal{B}(B^+ \rightarrow K^{(*)+} \ell^+ \ell^-)}{\mathcal{B}(B^0 \rightarrow K^{(*)0} \ell^+ \ell^-) + r_\tau \mathcal{B}(B^+ \rightarrow K^{(*)+} \ell^+ \ell^-)}$$

$$r_\tau \equiv \tau_{B^0} / \tau_{B^+} = 1 / (1.071 \pm 0.009)$$

- Indication of possible asymmetry in region below J/ψ :

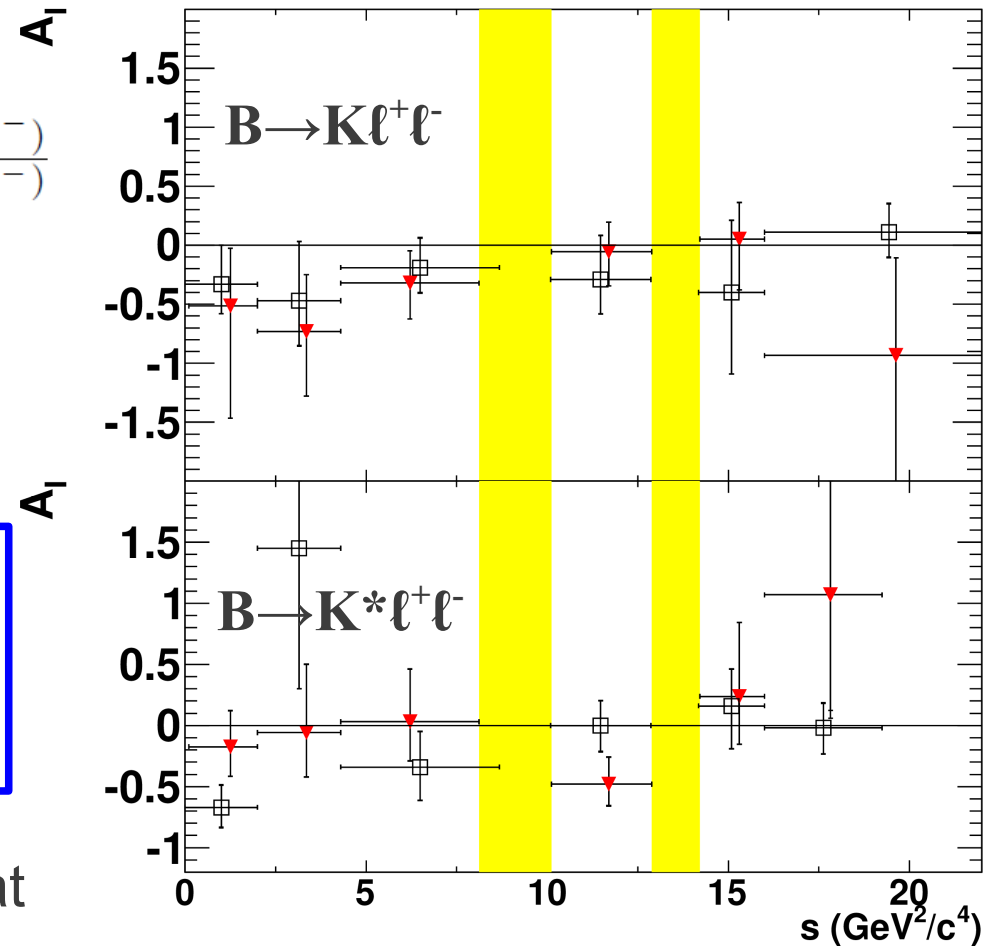
$$A_I^{\text{low}}(B \rightarrow K \ell^+ \ell^-) = -0.58^{+0.29}_{-0.37} \pm 0.02 \quad [2.10\sigma]$$

$$A_I^{\text{low}}(B \rightarrow K^* \ell^+ \ell^-) = -0.25^{+0.20}_{-0.17} \pm 0.03 \quad [1.15\sigma]$$

$$(0.1 < s < 8.12 \text{ GeV}^2/c^4)$$

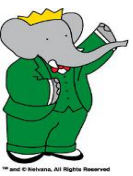
Consistent with SM predictions at **2.1 σ** and **1.2 σ** level respectively

▼ BABAR (471 M BB) arXiv:1204.3933
 □ Belle (657 M BB) PRL 103, 171801 (2009)





$B \rightarrow K^{(*)} \ell^+ \ell^-$



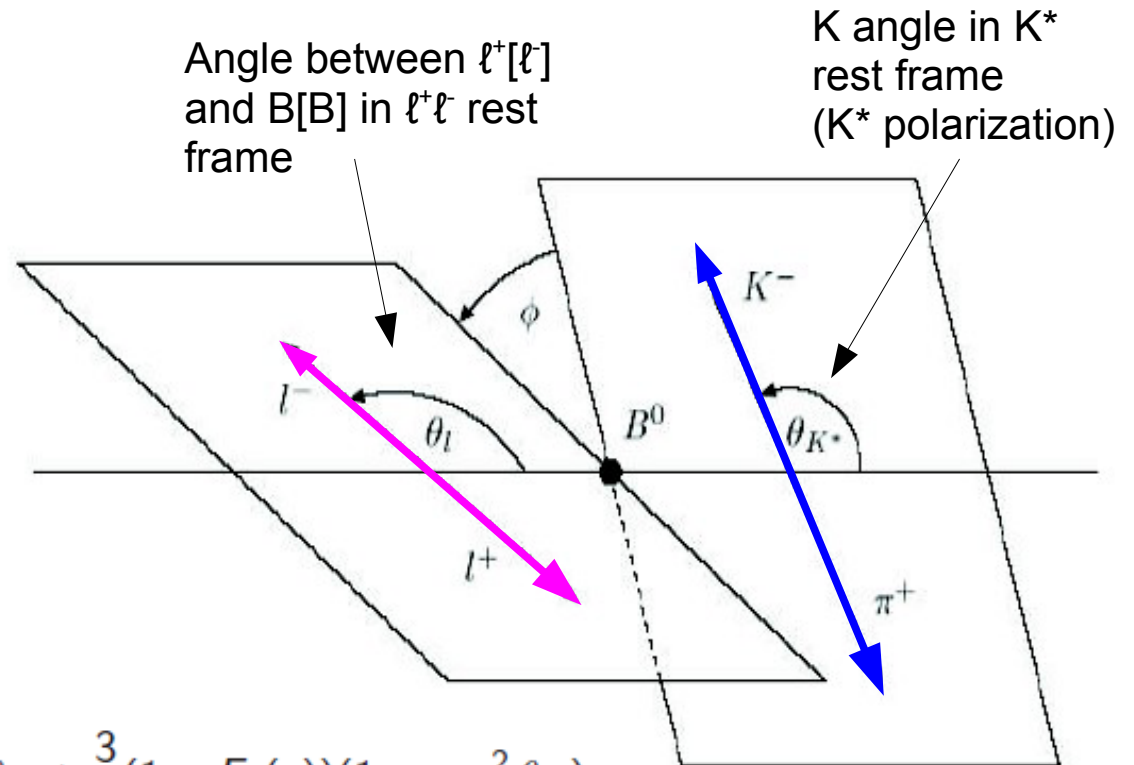
Fit angular distributions of $K^{(*)}$ and dilepton system to extract two observables:

- K^* longitudinal polarization fraction F_L

$$\frac{1}{\Gamma(s)} \frac{d\Gamma}{d \cos \theta_K} = \frac{3}{2} F_L(s) \cos^2 \theta_K + \frac{3}{4} (1 - F_L(s)) (1 - \cos^2 \theta_K)$$

- $\ell^+ \ell^-$ forward-backward asymmetry A_{FB}

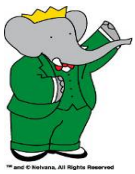
$$\frac{1}{\Gamma(s)} \frac{d\Gamma}{d \cos \theta_\ell} = \frac{3}{4} F_L(s) (1 - \cos^2 \theta_\ell) + \frac{3}{8} (1 - F_L(s)) (1 + \cos^2 \theta_\ell) + A_{FB} \cos \theta_\ell$$





$B \rightarrow K^{(*)} l^+ l^-$

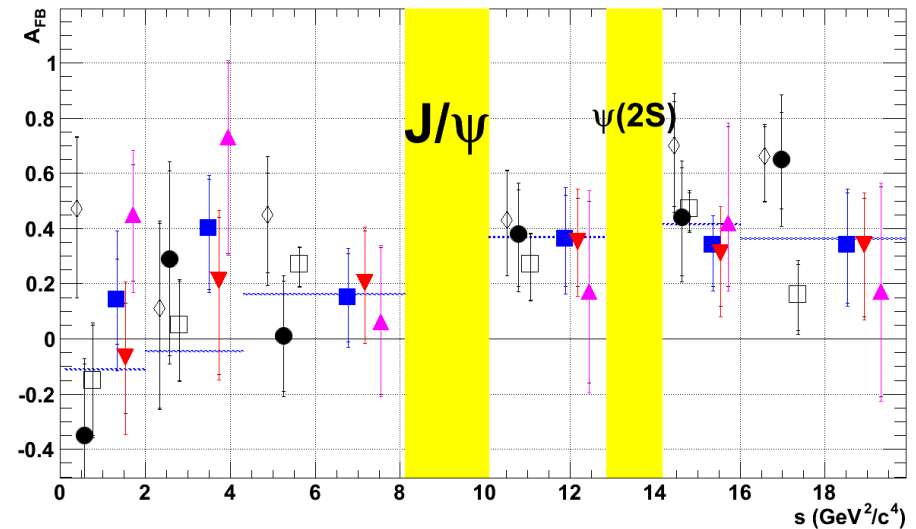
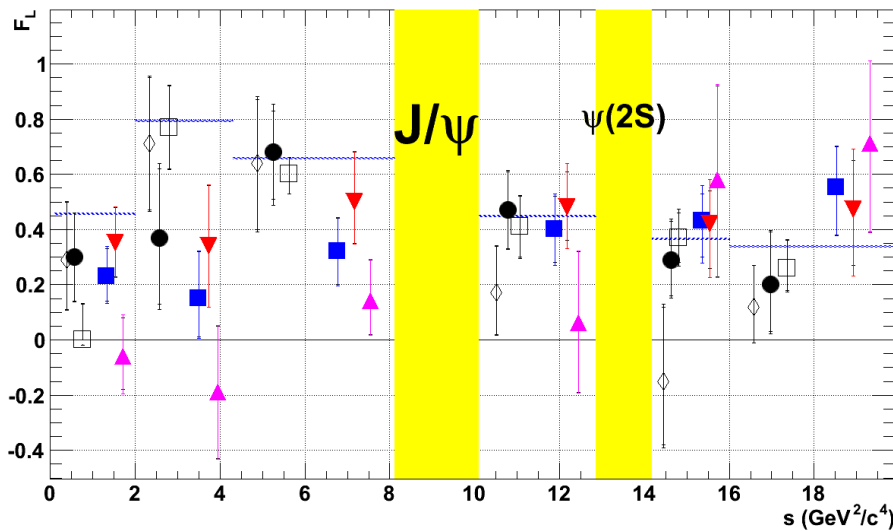
BABAR
Preliminary



Recent preliminary **BABAR** results based on full data sample

- Most precise non-LHCb results to-date

■ $K^* l^+ l^-$	} BABAR 471 M BB
▼ $K^{*0} l^+ l^-$	
▲ $K^+ l^+ l^-$	
● CDF 6.8 fb ⁻¹	PRL 108, 081807 (2012)
◇ Belle 657 M BB	PRL 103, 171801 (2009)
□ LHCb 0.37 fb ⁻¹	arXiv:1112.3515 (2012)
---	Standard Model *



- Generally good agreement with SM and other experiments
 - some mild tension in low-s region

* Ali et al. PRD 61, 074024 (2000)
 Buchalla et al. PRD 63, 014015 (2001)
 Ali et al. PRD 66, 034002 (2002)
 Krüger et al. PRD 61, 114028 (2002)
 Krüger & Matias PRD71, 094009 (2005)
 Ball & Zwicky, PRD71, 014029(2005)



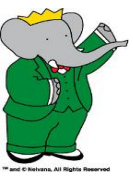
Rare & forbidden decays



$B^+ \rightarrow h^- \ell^+ \ell^+$ ($h=K, \pi$)

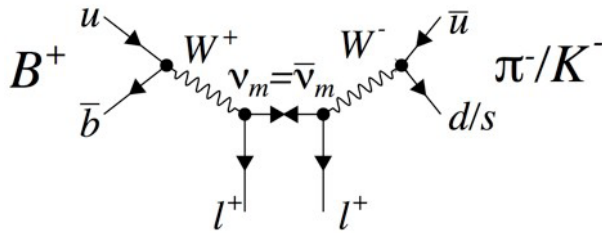
BABAR

PRD, 85, 071103(R) (2012)



Lepton number not explicitly conserved in many SM extensions

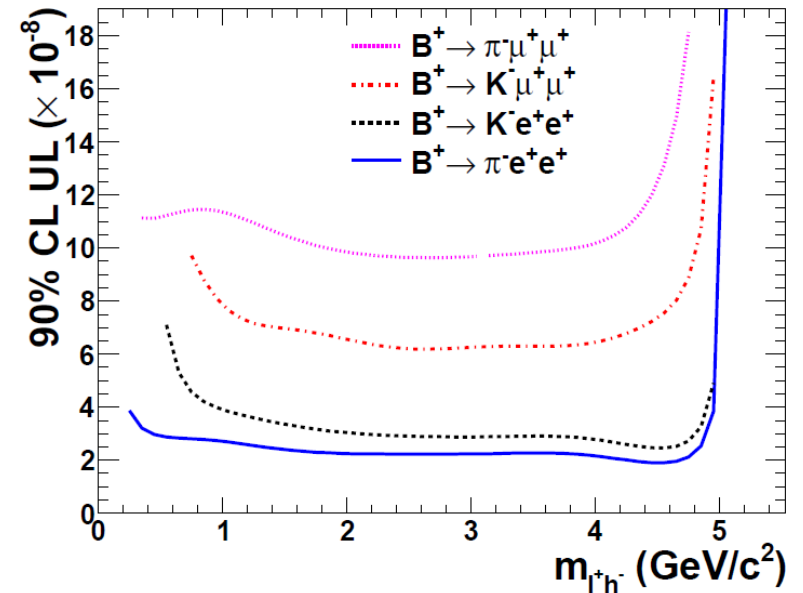
- LNV in $B^+ \rightarrow h^- \ell^+ \ell^+$ is $\Delta L = 2$ process which can occur via Majorana neutrinos



- complementary to $0\nu\beta\beta$ searches

BABAR search for $B^+ \rightarrow h^- \ell^+ \ell^+$ ($h=K, \pi$)

- Event selection similar to $B \rightarrow K^{(*)} l^+ l^-$ analysis



Mode	$\mathcal{B} (\times 10^{-8})$	$\mathcal{B}_{UL} (\times 10^{-8})$
$B^+ \rightarrow \pi^- e^+ e^+$	$0.27_{-1.2}^{+1.1} \pm 0.1$	2.3
$B^+ \rightarrow K^- e^+ e^+$	$0.49_{-0.8}^{+1.3} \pm 0.1$	3.0
$B^+ \rightarrow \pi^- \mu^+ \mu^+$	$0.03_{-3.2}^{+5.1} \pm 0.6$	10.7
$B^+ \rightarrow K^- \mu^+ \mu^+$	$0.45_{-2.7}^{+3.2} \pm 0.4$	6.7

- Best electron channel results
- Sensitivity comparable to LHCb in $\mu^+ \mu^-$ modes:

LHCb: $B(B^+ \rightarrow X^- \mu^+ \mu^-) < 1.3 \times 10^{-8} - 2.6 \times 10^{-6}$ (41 pb^{-1})
 PRL 108, 101601 (2012); arXiv:1201.5600



$B^\pm \rightarrow h^\pm \tau^+ l^-$ ($h=K, \pi$)

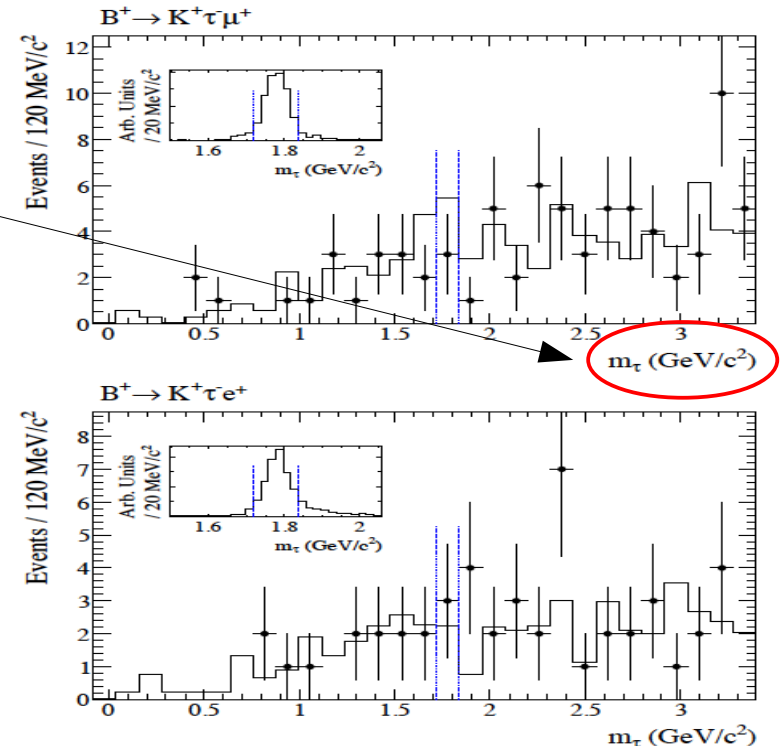
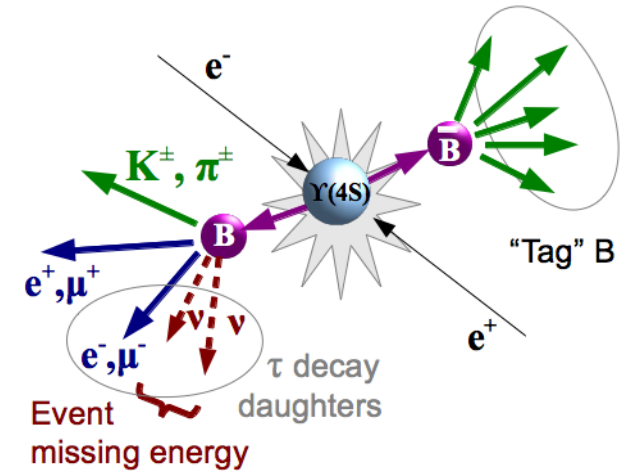


Search for lepton flavour violating B decays with a 3rd generation charged lepton (τ)

- possible NP enhancement in models with 2nd - 3rd generation mixing or mass dependent couplings

Missing energy signature necessitates “hadronic tag reconstruction” analysis

- 1-prong τ decay modes ($e/\mu/\pi$)
- 4-vector of τ determined from $h-l-B$ combination



Mode	$B(B \rightarrow h\tau\ell) (\times 10^{-5})$	
	central value	90% C.L. UL
$B^+ \rightarrow K^+ \tau \mu$	$0.0^{+2.7}_{-1.4}$	< 4.8
$B^+ \rightarrow K^+ \tau e$	$-0.6^{+1.7}_{-1.4}$	< 3.0
$B^+ \rightarrow \pi^+ \tau \mu$	$0.5^{+3.8}_{-3.2}$	< 7.2
$B^+ \rightarrow \pi^+ \tau e$	$2.3^{+2.8}_{-1.7}$	< 7.5

Model independent limits on NP scale in τ - μ flavour changing operators*:

$$\Lambda_{bd} > 11 \text{ TeV}$$

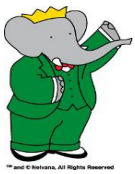
$$\Lambda_{bs} > 15 \text{ TeV}$$

* PRD 66, 053002 (2002)



$B^0 \rightarrow \nu\bar{\nu}(\gamma)$ (“invisible”)

BABAR
Preliminary
470M BB

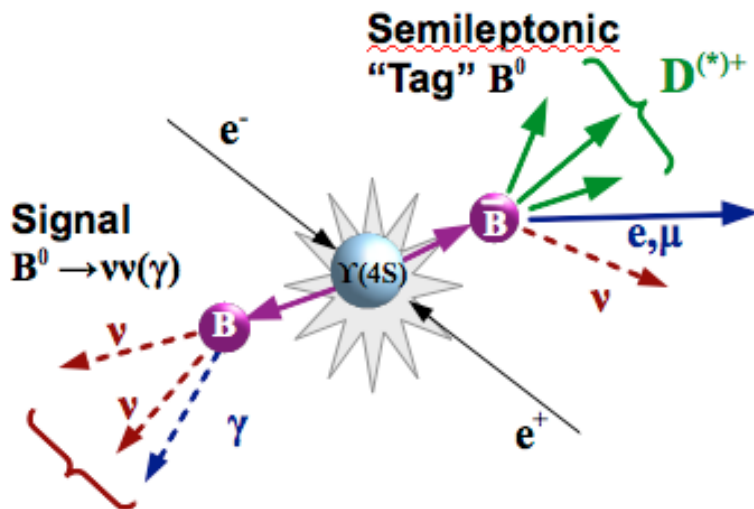


$B^0 \rightarrow \nu\bar{\nu}$ strongly helicity suppressed FCNC in SM

- Presence of energetic photon removes suppression
 $B(B^0 \rightarrow \nu\bar{\nu}\gamma) \sim 10^{-9}$
- Possibility of enhancement to $\sim 10^{-7}$ - 10^{-6} in some new physics models (RPV SUSY, LED models)

Semileptonic tag reconstruction method to identify B decays with little or no additional detector activity

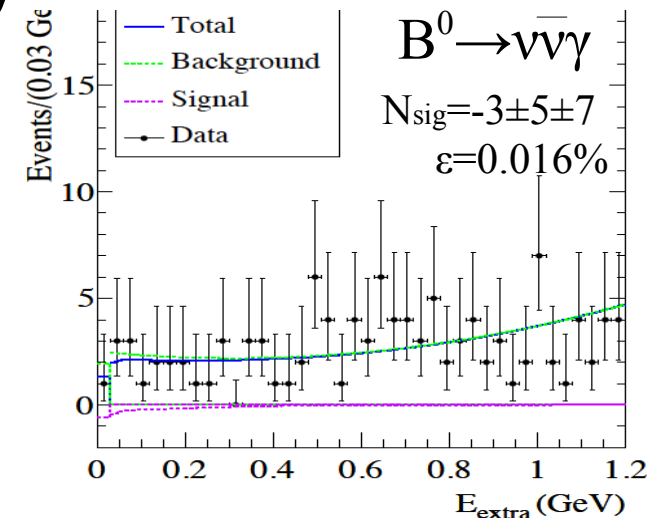
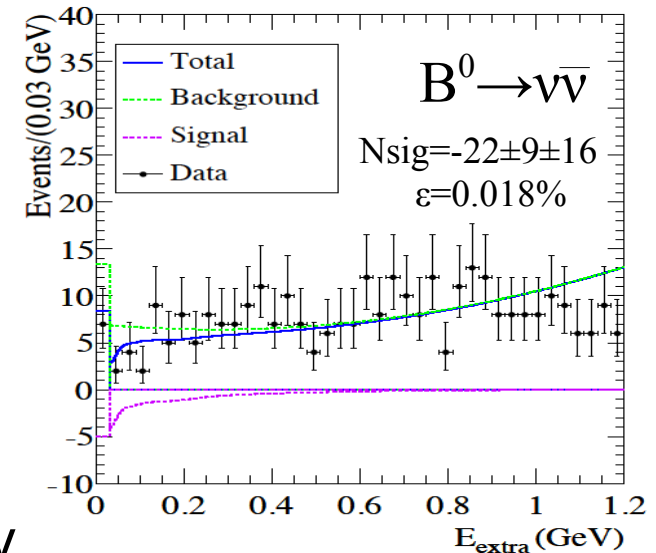
- Require no additional charged tracks, and select events with limited $E_{\text{extra}} \equiv \sum E_{\text{calorimeter}}$



~order of magnitude improvement over previous limits:

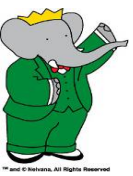
$$\mathcal{B}(B^0 \rightarrow \text{invisible}) < 2.4 \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow \text{invisible} + \gamma) < 1.7 \times 10^{-5}$$





Conclusion



Many recent new results from **BABAR** on decays with potential sensitivity to new physics!

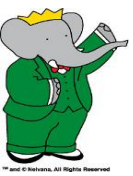
- Competitive new measurements of $b \rightarrow s$ FCNC processes based on full data statistics
- Stringent new limits on rare and forbidden B decays
- Interesting(?) discrepancies in tree-level leptonic and semileptonic decays



Backup slides



$B \rightarrow D^{(*)} \tau \nu$

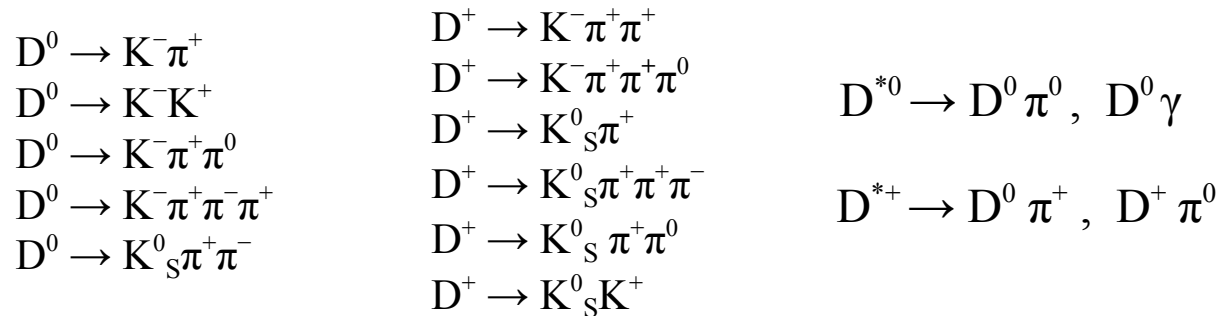


Signal events possess secondary e or μ from $\tau \rightarrow l \nu \nu$ while normalization modes have primary lepton from $B \rightarrow D^* l \nu$

- $p_e > 300 \text{ MeV}$ $p_\mu > 200 \text{ MeV}$ (improved particle identification!)

Four $B \rightarrow D^{(*)} \tau \nu$ signal decay modes considered: D^0, D^{*0}, D^+, D^{*+}

- D^0, D^+ exclusively reconstructed in one of several decay modes:



Calorimeter energy not associated with tag- or signal-B

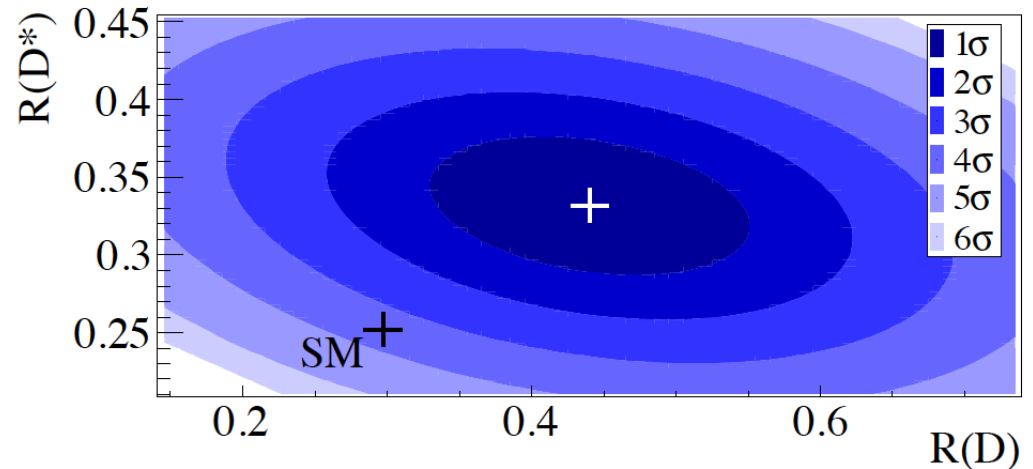
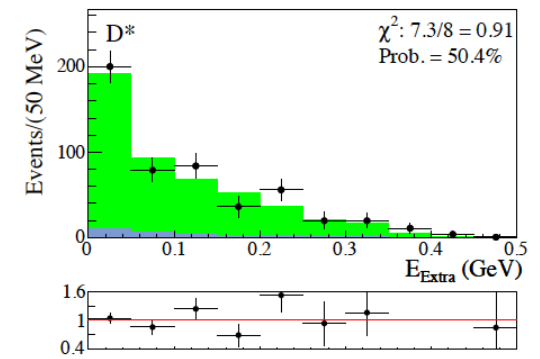
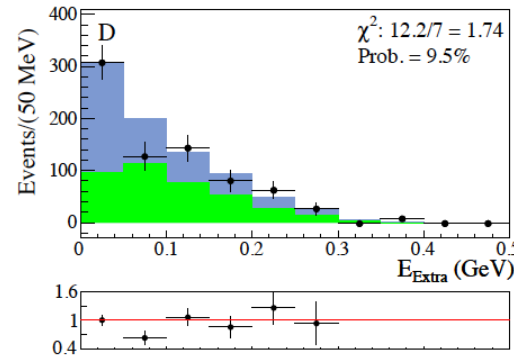
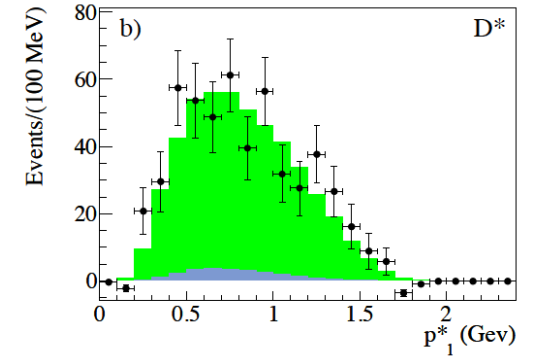
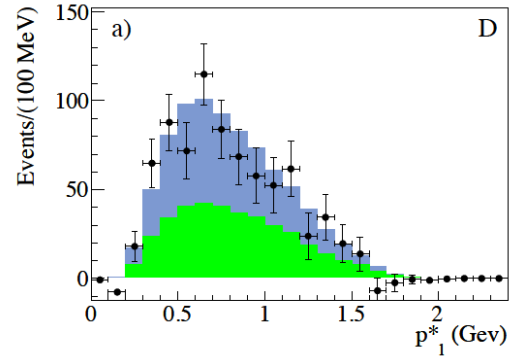
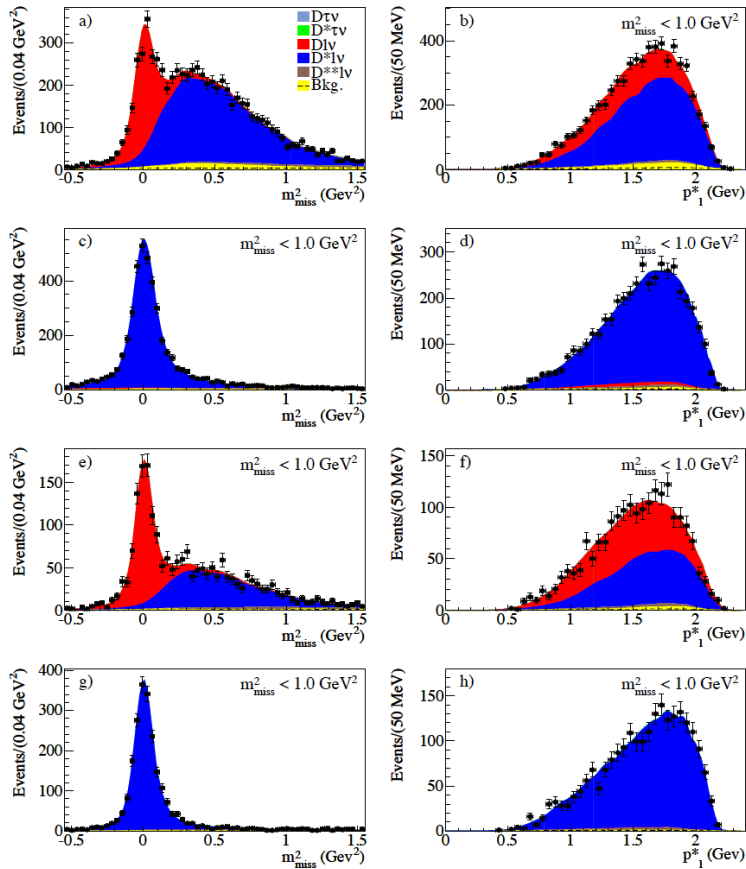
- Kinematic fit with vertex constraint to reduce combinatorial backgrounds
- Select candidate ($B_{\text{tag}} + B \rightarrow D^{(*)} \tau \nu$) with lowest E_{extra}

Boosted decision tree used to further suppress combinatorial and $B \rightarrow D^{**} l \nu$ backgrounds:

- Inputs: $N_{\text{tracks}}, \cos\theta_T, E_{\text{extra}}$ and reconstructed intermediate masses

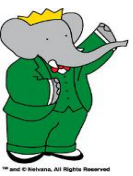


$B \rightarrow D^{(*)} \tau \nu$



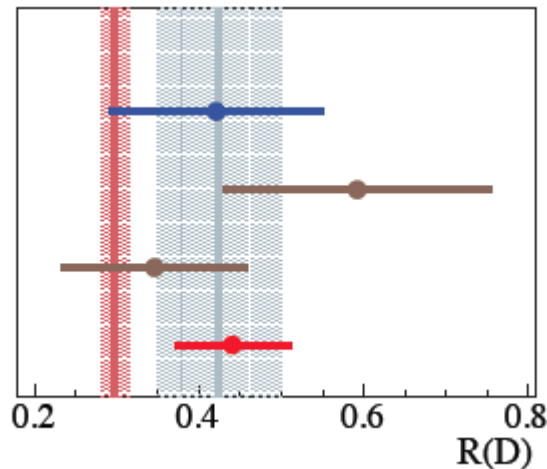


$B \rightarrow D^{(*)} \tau \nu$

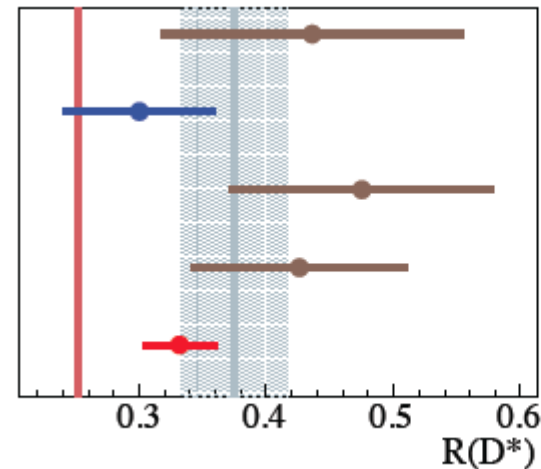


$$\frac{d\Gamma_\tau}{dq^2} = \frac{G_F^2 |V_{cb}|^2 |\mathbf{P}| q^2}{96\pi^3 m_B^2} \left(1 - \frac{m_\tau^2}{q^2}\right)^2 \left[(|H_{++}|^2 + |H_{--}|^2 + |H_{00}|^2) \left(1 + \frac{m_\tau^2}{2q^2}\right) + \frac{3}{2} \frac{m_\tau^2}{q^2} |H_{0t}|^2 \right]$$

BaBar 2008
 0.42 ± 0.13
Belle 2009
 0.59 ± 0.16
Belle 2010
 0.34 ± 0.11
BaBar 2012
 0.440 ± 0.071



Belle 2007
 0.44 ± 0.12
BaBar 2008
 0.30 ± 0.06
Belle 2009
 0.47 ± 0.10
Belle 2010
 0.43 ± 0.09
BaBar 2012
 0.332 ± 0.029



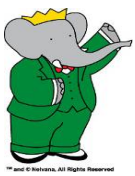
535M $B\bar{B}$
232M $B\bar{B}$
657M $B\bar{B}$
657M $B\bar{B}$
471M $B\bar{B}$

Average does not include new **BABAR** results

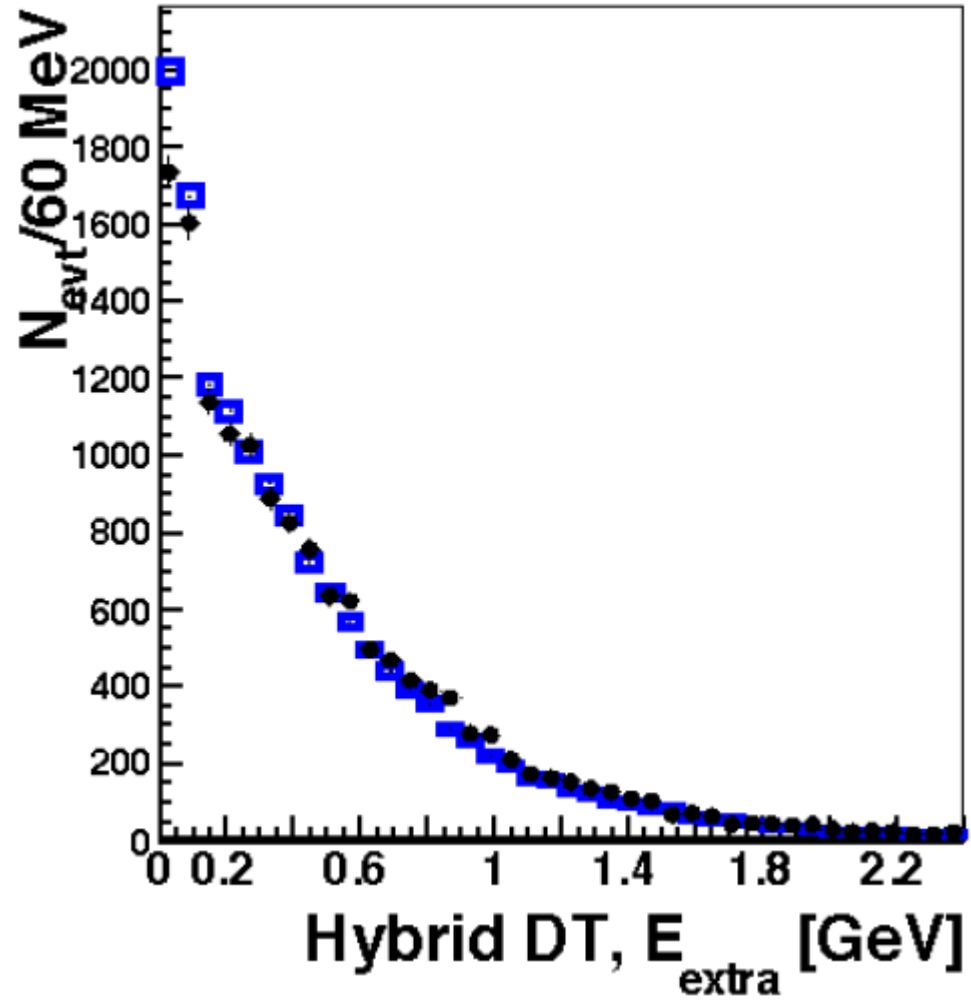


$B^+ \rightarrow \tau^+ \nu$

BABAR
Preliminary

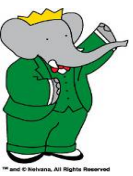


Decay Mode	$\mathcal{B}(\times 10^{-4})$
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$0.35^{+0.84}_{-0.73}$
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$1.12^{+0.90}_{-0.78}$
$\tau^+ \rightarrow \pi^+ \nu$	$3.69^{+1.42}_{-1.22}$
$\tau^+ \rightarrow \rho^+ \nu$	$3.78^{+1.65}_{-1.45}$
combined	$1.83^{+0.53}_{-0.49}$





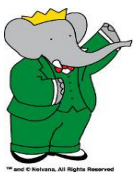
$B^\pm \rightarrow h^\pm \tau^+ l^-$



Mode	τ channel	b_i	n_i	$\epsilon_{h\tau\ell,i}$	$\mathcal{B}(B \rightarrow h\tau\ell) (\times 10^{-5})$	
					central value	90% C.L. UL
$B^+ \rightarrow K^+ \tau^- \mu^+$	e	0.4 ± 0.2	2	$(2.6 \pm 0.2)\%$		
	μ	0.3 ± 0.2	0	$(3.2 \pm 0.4)\%$	$0.8^{+1.9}_{-1.4}$	< 4.5
	π	1.8 ± 0.8	1	$(4.1 \pm 0.4)\%$		
$B^+ \rightarrow K^+ \tau^+ \mu^-$	e	0.2 ± 0.1	0	$(3.7 \pm 0.3)\%$		
	μ	0.2 ± 0.1	0	$(3.6 \pm 0.7)\%$	$-0.4^{+1.4}_{-0.9}$	< 2.8
	π	6.9 ± 1.5	11	$(9.1 \pm 0.5)\%$		
$B^+ \rightarrow K^+ \tau^- e^+$	e	0.6 ± 0.1	2	$(2.2 \pm 0.2)\%$		
	μ	0.1 ± 0.1	0	$(2.7 \pm 0.6)\%$	$0.2^{+2.1}_{-1.0}$	< 4.3
	π	1.5 ± 0.5	1	$(4.8 \pm 0.6)\%$		
$B^+ \rightarrow K^+ \tau^+ e^-$	e	0.8 ± 0.5	0	$(2.8 \pm 1.1)\%$		
	μ	0.1 ± 0.1	0	$(3.2 \pm 0.7)\%$	$-1.3^{+1.5}_{-1.8}$	< 1.5
	π	4.6 ± 1.3	4	$(8.7 \pm 1.2)\%$		
$B^+ \rightarrow \pi^+ \tau^- \mu^+$	e	0.9 ± 0.6	0	$(2.3 \pm 0.2)\%$		
	μ	1.1 ± 0.4	2	$(2.9 \pm 0.4)\%$	$0.4^{+3.1}_{-2.2}$	< 6.2
	π	3.3 ± 0.9	4	$(2.8 \pm 0.2)\%$		
$B^+ \rightarrow \pi^+ \tau^+ \mu^-$	e	2.1 ± 0.5	2	$(3.8 \pm 0.3)\%$		
	μ	3.6 ± 0.9	4	$(4.8 \pm 0.3)\%$	$0.0^{+2.6}_{-2.0}$	< 4.5
	π	25 ± 3	23	$(9.1 \pm 0.6)\%$		
$B^+ \rightarrow \pi^+ \tau^- e^+$	e	0.1 ± 0.1	1	$(2.0 \pm 0.8)\%$		
	μ	0.4 ± 0.2	1	$(2.8 \pm 0.3)\%$	$2.8^{+2.4}_{-1.9}$	< 7.4
	π	6.0 ± 1.4	7	$(5.8 \pm 0.3)\%$		
$B^+ \rightarrow \pi^+ \tau^+ e^-$	e	1.0 ± 0.4	0	$(2.9 \pm 0.3)\%$		
	μ	3.0 ± 1.2	2	$(4.6 \pm 0.4)\%$	$-3.1^{+2.4}_{-2.1}$	< 2.0
	π	5.7 ± 2.5	3	$(3.7 \pm 1.0)\%$		



Rare charm decays



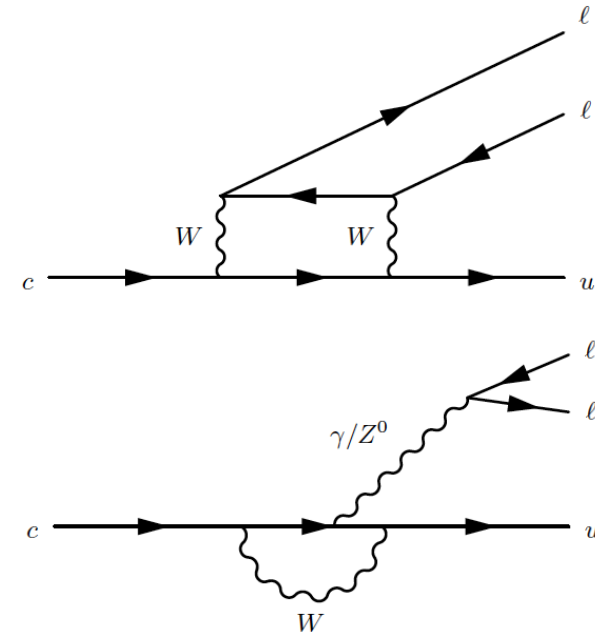
Phys.Rev. D84 (2011) 072006
arXiv:1107.4465

Search for decays of the form

$$X_c^+ \rightarrow h^\pm \ell^\mp \ell^{(\prime)+}$$

where X_c^+ is D^+ , D_s^+ or Λ_c^+
and h is K , π or p (for Λ_c^+)

- Various lepton charge/flavour combinations represent (highly GIM suppressed) SM decays, LFV and LNV decays



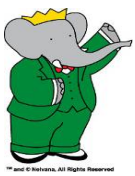
SM predictions for GIM-suppressed modes $\sim 10^{-8}$ but potentially enhanced by up to 3 orders of magnitude in NP models

PRD 66, 014009 (2002)
PRD 64, 114009 (2001)

- Complementary new physics sensitivity to rare B decays via d-type FCNC loops



Rare charm decays

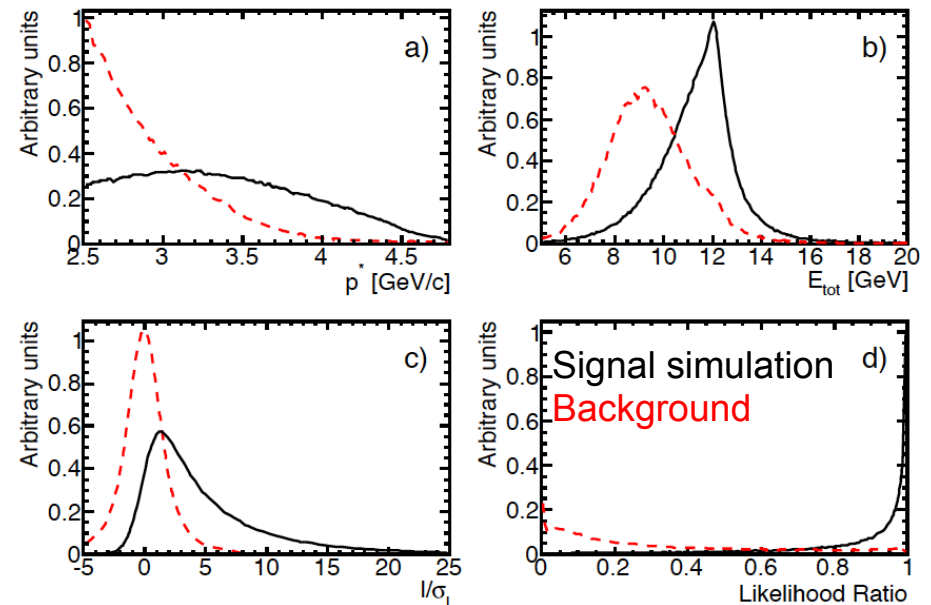


Searches based on 384fb^{-1} of BABAR data (“onpeak” + “offpeak”)

- exclusively reconstruct 3-track final states, requiring two identified leptons
- Veto B decays by requiring D^+ , D_s^+ or Λ_c^+ candidate to have $p^* > 2.5 \text{ GeV}/c$
- QED backgrounds suppressed by requiring >4 tracks in the event

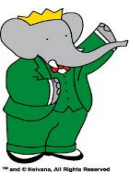
- Long distance contributions from $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow l^+ l^-)$ removed by veto on ϕ mass region

- Likelihood ratio to suppress remaining background





Rare charm decays



Signal yields obtained from fit to hll' invariant mass

- Normalized to rates for known 3-body hadronic modes with similar kinematics

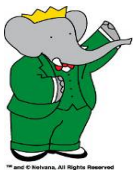
Decay mode	Yield (events)	Eff. (%)	BR UL 90% CL (10^{-4})	BF UL 90% CL (10^{-6})
$D^+ \rightarrow \pi^+ e^+ e^-$	$-3.9 \pm 1.6 \pm 1.7$	1.56	3.9	1.1
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$-0.2 \pm 2.8 \pm 0.9$	0.46	24	6.5
$D^+ \rightarrow \pi^+ e^+ \mu^-$	$-2.9 \pm 3.4 \pm 2.4$	1.21	11	2.9
$D^+ \rightarrow \pi^+ \mu^+ e^-$	$3.6 \pm 4.3 \pm 1.3$	1.54	13	3.6
$D_s^+ \rightarrow \pi^+ e^+ e^-$	$8 \pm 34 \pm 8$	6.36	5.4	13
$D_s^+ \rightarrow \pi^+ \mu^+ \mu^-$	$20 \pm 15 \pm 4$	1.21	18	43
$D_s^+ \rightarrow \pi^+ e^+ \mu^-$	$-3 \pm 11 \pm 3$	2.16	4.9	12
$D_s^+ \rightarrow \pi^+ \mu^+ e^-$	$9.3 \pm 7.3 \pm 2.8$	1.50	8.4	20
$D^+ \rightarrow K^+ e^+ e^-$	$-3.7 \pm 2.9 \pm 3.3$	2.88	3.7	1.0
$D^+ \rightarrow K^+ \mu^+ \mu^-$	$-1.3 \pm 2.8 \pm 1.1$	0.65	16	4.3
$D^+ \rightarrow K^+ e^+ \mu^-$	$-4.3 \pm 1.8 \pm 0.6$	1.44	4.3	1.2
$D^+ \rightarrow K^+ \mu^+ e^-$	$3.2 \pm 3.8 \pm 1.2$	1.74	9.9	2.8
$D_s^+ \rightarrow K^+ e^+ e^-$	$-5.7 \pm 5.8 \pm 2.0$	3.20	1.6	3.7
$D_s^+ \rightarrow K^+ \mu^+ \mu^-$	$4.8 \pm 5.9 \pm 1.2$	0.85	9.1	21
$D_s^+ \rightarrow K^+ e^+ \mu^-$	$9.1 \pm 6.0 \pm 2.8$	1.74	5.7	14
$D_s^+ \rightarrow K^+ \mu^+ e^-$	$3.4 \pm 6.4 \pm 3.5$	2.08	4.2	9.7
$\Lambda_c^+ \rightarrow p e^+ e^-$	$4.0 \pm 6.5 \pm 2.8$	5.52	0.8	5.5
$\Lambda_c^+ \rightarrow p \mu^+ \mu^-$	$11.1 \pm 5.0 \pm 2.5$	0.86	6.4	44
$\Lambda_c^+ \rightarrow p e^+ \mu^-$	$-0.7 \pm 2.9 \pm 0.9$	1.10	1.6	9.9
$\Lambda_c^+ \rightarrow p \mu^+ e^-$	$6.2 \pm 4.6 \pm 1.8$	1.37	2.9	19

Decay mode	Yield (events)	Eff. (%)	BR UL 90% CL (10^{-4})	BF UL 90% CL (10^{-6})
$D^+ \rightarrow \pi^- e^+ e^+$	$4.7 \pm 4.7 \pm 0.5$	3.16	6.8	1.9
$D^+ \rightarrow \pi^- \mu^+ \mu^+$	$-3.1 \pm 1.2 \pm 0.5$	0.70	7.5	2.0
$D^+ \rightarrow \pi^- \mu^+ e^+$	$-5.1 \pm 4.2 \pm 2.0$	1.72	7.4	2.0
$D_s^+ \rightarrow \pi^- e^+ e^+$	$-5.7 \pm 14. \pm 3.4$	6.84	1.8	4.1
$D_s^+ \rightarrow \pi^- \mu^+ \mu^+$	$0.6 \pm 5.1 \pm 2.7$	1.05	6.2	14
$D_s^+ \rightarrow \pi^- \mu^+ e^+$	$-0.2 \pm 7.9 \pm 0.6$	2.23	3.6	8.4
$D^+ \rightarrow K^- e^+ e^+$	$-2.8 \pm 2.4 \pm 0.2$	2.67	3.1	0.9
$D^+ \rightarrow K^- \mu^+ \mu^+$	$7.2 \pm 5.4 \pm 1.6$	0.80	37	10
$D^+ \rightarrow K^- \mu^+ e^+$	$-11.6 \pm 4.0 \pm 3.1$	1.52	6.8	1.9
$D_s^+ \rightarrow K^- e^+ e^+$	$2.3 \pm 7.9 \pm 3.3$	4.10	2.1	5.2
$D_s^+ \rightarrow K^- \mu^+ \mu^+$	$-2.3 \pm 5.0 \pm 2.8$	0.98	5.3	13
$D_s^+ \rightarrow K^- \mu^+ e^+$	$-14.0 \pm 8.4 \pm 2.0$	2.26	2.4	6.1
$\Lambda_c^+ \rightarrow \bar{p} e^+ e^+$	$-1.5 \pm 4.2 \pm 1.5$	5.14	0.4	2.7
$\Lambda_c^+ \rightarrow \bar{p} \mu^+ \mu^+$	$-0.0 \pm 2.1 \pm 0.6$	0.94	1.4	9.4
$\Lambda_c^+ \rightarrow \bar{p} \mu^+ e^+$	$10.1 \pm 5.8 \pm 3.5$	2.50	2.3	16

No significant signals seen

- Establish 90% CL limits ranging from (0.9×10^{-6}) - (44×10^{-6})
- First limits on Λ_c^+ modes

The *BABAR* experiment



As of 2008/04/11 00:00

Large data samples corresponding to

- 432 fb^{-1} $\Upsilon(4S)$ “onpeak”
- 53 fb^{-1} “offpeak”
 - collected $\sim 40 \text{ MeV}$ below $\Upsilon(4S)$ peak
- Also samples of “narrow Υ ” events:
 - 122×10^6 $\Upsilon(3S)$ decays
 - 99×10^6 $\Upsilon(2S)$ decays

